

# COGNITA



## **Complaint Procedure**

**September 2019**

**Wales**

### 1 Introduction

- 1.1 We take great care with the quality of the teaching and pastoral care provided to our pupils. However, if parents do wish to make a complaint they can expect the following procedure to apply.

### 2 Working Day

- 2.1 For the purposes of this procedure, 'working days' shall mean working days during school term time. In the event of a complaint arising during the school holidays, wherever possible, this will maintain the same time periods as during term time. However, the Headteacher will need to ascertain the exact time required to secure the necessary information and, if there is the need to go beyond this time scale, will inform the parents accordingly in writing.

### 3 Procedure

#### 3.1 Stage 1 – Informal Resolution

- 3.1.1 It is hoped that most complaints will be resolved quickly and informally.
- 3.1.2 If parents have a complaint they should normally contact their child's form teacher in the first instance. In many cases, the matter will be resolved at this level to the parents' satisfaction.
- 3.1.3 If the teacher cannot resolve the matter alone, it may be necessary for them to consult with a member of the School Senior Leadership Team.
- 3.1.4 Any complaints made directly to the Head will usually be referred back to the relevant member of staff unless the Head considers it more appropriate to deal with the matter personally. Should this be the case, the aim will still be to resolve the matter informally. However, the involvement of the Head at this stage will be in exceptional circumstances.
- 3.1.5 Stage 1 complaints should be addressed within 7 working days from the point at which they are received. Where there are reasons which prevent this from happening, the staff member dealing with the complaint will notify the parents and provide an amended time frame.
- 3.1.6 A written record of all complaints will be kept (regardless of whether they are upheld) and this will include the date on which the complaint was received, the action taken and the outcome.
- 3.1.7 Should the matter not be resolved informally, despite the teacher's best efforts, then the parents are able to proceed with their complaint in accordance with Stage 2 of this procedure.

#### 3.2 Stage 2 – Formal Resolution

- 3.2.1 If it has not been possible to resolve the complaint informally, then the parents should put their complaint in writing to the Head. The Head will decide, after considering the complaint, the appropriate course of action to take including the person to take the lead in any investigation.
- 3.2.2 The Head will respond to parents within five working days indicating how the school proposes to proceed.
- 3.2.3 It may be necessary for the Head, or the person taking the lead, to carry out further investigations. If this is the case, then written records will be kept of all meetings and interviews held in relation to the complaint.

## Complaint Procedure (Wales)

---

- 3.2.4 Once the Head is satisfied that, so far as is practicable, all of the relevant facts have been established, during the course of the investigation, a decision will be made in relation to the complaint within 10 working days from the date the complaint was received. Parents will be informed of the decision, and the reasoning behind it, in writing.
- 3.2.5 Where it is not possible to give a full reply within 10 working days, the Head will notify the parents and provide an amended time frame.
- 3.2.6 It is expected that a resolution will be reached at this stage and that parents will feel assured that all of their concerns have been fully and fairly considered. If, in extreme circumstances, parents are not satisfied with the process they are able to bring into play Stage 3 of this procedure.
- 3.2.7 Note: Should a parent wish to raise a complaint about the Head, they should contact the Cognita Office by telephone or writing in the first instance. Written correspondence should be emailed to [info@cognita.com](mailto:info@cognita.com). This will trigger contact with the Complaint Co-ordinator to clarify and discuss the matter. Where there is a complaint against the Head, the Director of Education will appoint an investigator. These complaints will normally be dealt with within 15 working days of receiving the complaint.
- 3.3 Stage 3 – Panel Hearing**
- 3.3.1 Where the parents are not satisfied with the response or process undertaken at Stage 2, the matter will be referred to a Complaints Panel.
- 3.3.2 Parents should request a referral to a Complaints Panel by completing the form at the back of this document.
- 3.3.3 A complaint form should be delivered by post or by email to the Cognita Office within 5 working days of receipt of the decision at Stage 2 above.
- 3.3.4 Cognita will acknowledge receipt of the Stage 3 complaint and will schedule a hearing to take place as soon as practicable and normally within 20 working days of receiving the Stage 3 complaint.
- 3.3.5 The Complaints Panel will be appointed by the Director of Education and at least one of the three members shall be independent of the management and running of the school. The members of the Complaints Panel will have no connection to the pupil or the family concerned and will not have been directly involved in the matters detailed in the complaint.
- 3.3.6 If the Chair of the Complaints Panel deems it necessary, s/he may require that further particulars of the complaint/appeal and any relevant documents or records be supplied in advance of the panel meeting. Copies of such particulars will be supplied to all the parties wherever practicable and not later than 3 working days prior to this hearing.
- 3.3.7 The parents may be accompanied to the hearing by one other person. This may be a relative, teacher or friend. Legal representation is not permitted. Recordings of hearings are not permitted.
- 3.3.8 If possible the Complaints Panel will resolve the parents' complaint immediately without need for further investigation.
- 3.3.9 A written record of the proceedings will be taken.

## Complaint Procedure (Wales)

---

- 3.3.10 After due consideration of all facts considered relevant, the Panel will reach a decision and make recommendations, which it shall complete within 10 working days of the hearing.
- 3.3.11 The Panel will write to the parents informing them of their decision and the reasons for it.
- 3.3.12 The Panel's findings and recommendations will be sent in writing to the complainant, the Head and, where relevant, the person about whom the complaint was made. A copy of any complaint and findings/recommendations will be held confidentially and made available for inspection in the school by the proprietor and by inspectors on request.
- 3.3.13 This exhausts the complaints procedure after the decision has been communicated in writing. The decision of the Complaints Panel is final.

### 4 Out of Hours

- 4.1 Where there is separate registered Out of Hours provision, this policy is compliant with the requirements of the Regulations and National Minimum Standards: Day Care and Play. All complaints relating to Out of Hours are investigated and complainants will be notified of the outcome of the investigation within 28 days of having received the complaint. Where parents do not believe that an early years complaint has been resolved they can raise this with Care Inspectorate Wales by telephone on 0300 790 0126 quoting reference number W130000136. All paperwork with regard to complaints to CIW will be kept by the school for 3 years.

### 5 Vexatious Complaints

- 5.1 There may be exceptional occasions when, despite the following of all stages of the procedure, the complainant remains dissatisfied. If the complainant tries to reopen the same issue, Cognita reserves the right to inform the complainant in writing that the procedure has been exhausted and that the matter is now closed. Where a complainant seeks to raise a subsequent complaint matter which is otherwise different to the original complaint at Stage 3, this matter in itself would be treated as a new informal complaint.

### 6 Recording of Complaints

- 6.1 All complaints which have reached Stages 2 or 3 are duly recorded in the School Complaint Register, including the outcome of the individual complaint and any actions taken as a result. The stage at which the complaint is concluded is recorded.

### 7 Confidentiality

- 7.1 Parents can be assured that all concerns and complaints will be treated seriously and confidentially. Correspondence, statements and records will be kept confidential. The exceptions to confidentiality are the Secretary of State or an inspection body. The School will make available to an inspectorate on request a written record of any complaints made during a specified period and the action which was taken as a result.

### 8 Publication

- 8.1 This procedure is published on the school website.

### 9 Provisions Relating to Complaints dealt with using this Procedure

- 9.1 This procedure will not be relevant where other statutory or organisational provisions apply, for example, child protection, racial incidents or special educational provision. If concerns relate to child protection matters, the appropriate Western Bay Safeguarding Children's Board and the All Wales Child Protection Procedures 2008 will be followed.

## Complaint Procedure (Wales)

---

- 9.2 This procedure cannot be used to deal with appeals following expulsion or removal. There is a separate procedure in place in accordance with the parent contract. Guidance on how to request this will be provided in the event of an exclusion or removal and is covered in the Exclusion Policy.
- 9.3 Nothing in this policy shall prejudice the right of parents of a pupil with ALN or a disability to seek redress from the Special Educational Needs Tribunal Wales (SENTW) which is an independent tribunal.
- 9.4 A complaint can be made by any parent (or person deemed to have parental responsibility under the terms of the Children Act 2004) of a pupil registered at the school.
- 9.5 A complaint cannot be raised in relation to a pupil who has left the school, unless the issue was first raised when the pupil was on roll. The child is taken off roll on the final day of the term in which they leave.
- 9.6 When the complaint concerns only the matter of finance such as fees in lieu which remain outstanding, the matter of the fees owed alone falls outside the scope of this procedure. The Head of the School remains responsible for all financial decisions.
- 9.7 Where a parent is unhappy with this procedure, they can contact Estyn on 02920 446446 quoting reference number 670 6001, or the Welsh Assembly Government.
- 9.8 Complaints from groups of parents linked to the leadership team and/or management style will not be heard collectively. Confidentiality must be maintained for each individual complaint.

### Contact details for inspectorates:

Estyn: Anchor Court Keen Road Cardiff CF24 5JW

CIW: Government Buildings, Picton Terrace, Carmarthen, SA31 3BT

Complaint record from previous academic year:

The school received no formal complaints.

**COMPLAINT FORM**

Please complete and return to the Headteacher.

If your concern is specifically about the Headteacher, please complete and return to Cognita Head Office using the following details: PA to Director of Education, Cognita, 5&7 Diamond Court, Opal Drive, Eastlake Park, Milton Keynes, MK15 0DU.

<b>Basic details</b>	
<b>Name of School</b>	
<b>Your name</b>	
<b>Pupil's name</b>	
<b>Relationship to the pupil</b>	
<b>Address</b>	
<b>Email address</b>	
<b>Daytime contact number</b>	
<b>Mobile number</b>	

<b>What is your complaint about? Indicate (x) those that apply</b>			
<input type="checkbox"/>	Health and safety	<input type="checkbox"/>	SEN/D
<input type="checkbox"/>	Curriculum	<input type="checkbox"/>	School meals
<input type="checkbox"/>	Staff	<input type="checkbox"/>	School uniform
<input type="checkbox"/>	Behaviour	<input type="checkbox"/>	Communication
<input type="checkbox"/>	Other (please state)		

<b>Please give a brief description of your complaint</b>
<b>How have you already expressed your concern to the school? (We cannot investigate your complaint if you have not taken the opportunity to address your concern at an early stage).</b>
<b>What did the school do to address your complaint? (Who, What, Where, How, When)</b>
<b>Name of the person who originally considered your concern or complaint</b>
<b>What actions will resolve the problem now?</b>

<b>Signature</b>	
<b>Name</b>	
<b>Date</b>	

## Complaint Procedure (Wales)

---

<b>Ownership and consultation</b>	
Document sponsor (role)	Director of Education
Document author (name)	Simon Camby
Consultation	Headteachers of Cognita Schools in Wales

<b>Audience</b>	
Audience	Parents of pupils at Cognita schools School staff

<b>Document application and publication</b>	
England	No
Wales	Yes
Spain	No

<b>Version control</b>	
Implementation date	September 2019
Review date	April 2020 for implementation in September 2020

<b>Related documentation</b>	
Related documentation	Independent School Standards in Wales